

Paul Weil
Chair

Mark Hubbard
Vice-Chair

Warren Perry
Secretary

Members:
Kurt Akamine
Kathy Clark
Brad Nagano

COUNTY OF KAUAI BOARD OF ETHICS
AMENDED NOTICE OF MEETING AND AGENDA

Friday, April 8, 2011
9:00 a.m. or shortly thereafter
Mo'ikeha Building, Liquor Conference Room 3
4444 Rice Street, Lihu'e, HI 96766

CALL TO ORDER

APPROVAL OF AGENDA

APPROVAL OF MINUTES

Regular Session Minutes of February 11, 2011

COMMUNICATIONS

- BOE 2011-06 Memorandum dated February 9, 2011, from Derek S.K. Kawakami, Councilmember, regarding Possible Conflict of Interest with Bill No. 2400, Relating to Plastic Bag Reduction, February 9, 2011 Council Agenda
- BOE 2011-07 Memorandum dated March 16, 2011, from Nadine K. Nakamura, Councilmember, regarding Possible Conflict of Interest: Resolution No 2011-42 (Filing of an annual Action Plan for the CDBG)

REQUEST FOR AN ADVISORY OPINION

- RAO 11-002 Request dated March 23, 2011, from Imaikalani Aiu, Special Assistant to the Housing Director, as to whether there is a conflict for him to subcontract as a planning consultant to write Environmental Assessments for small projects, including those proposed by County Agencies other than the Housing Agency.
- RAO 11-003 Request from Mauna Kea Trask, Deputy County Attorney, as to whether there is a conflict for him to participate as the narrator/main character in a local documentary about fishing and the fishing industry in Hawai'i

BUSINESS

BOE 2011-05

Discussion and decision-making on Disclosure Statement, Section III Annual Income to determine if the \$10,000 reporting level needs to be revised (ongoing from 2/11/11)

(a) Article XIV, Code of Ethics, The Constitution of the State of Hawai'i

(b) Sample Form of State Ethics Disclosure Form

DISCLOSURES for review and examination by the Board of Ethics:

- a. Johanna Ventura (Open Space Commission)
- b. Dee Crowell (Deputy Planning Director)
- c. Peter Nakamura (County Clerk)
- d. John Blalock (Deputy Fire Chief)
- e. Bernard Carvalho, Jr. (Mayor)
- f. Eugene Jimenez (Housing Director)
- g. William Eddy (Deputy Manager-Engineer – DOW)
- h. David Craddick (Manager & Chief Engineer – DOW)
- i. Robert Crowell (Salary Commission)
- j. Andrea Suzuki (Deputy County Attorney)
- k. Gaylord Fukumoto (Building Board of Appeals)
- l. Darnney Proudfoot (Fire Commission)
- m. John Low (Civil Service Commission)
- n. Randy Finlay (Salary Commission)
- o. Dane Oda (Liquor Control Commission)
- p. Jennifer Winn (Deputy County Attorney)
- q. Ernest Barreira (Assistant Chief Procurement Officer)
- r. Tim Bynum (County Council)
- s. Nancy McMahon (Historic Preservation Review Commission)
- t. Wayne Katayama (Planning Commission)
- u. Herman Texeira (Planning Commission)
- v. Melinda Mendes (Deputy Prosecuting Attorney)

ANNOUNCEMENTS

Next Meeting: Friday, May 13, 2011 - 9:00 a.m., Mo'ikeha Building, Liquor Conference Room 3

ADJOURNMENT

NOTICE OF EXECUTIVE SESSION

Pursuant to Hawaii Revised Statutes §92-7 (a), the Commission may, when deemed necessary, hold an executive session on any agenda item without written public notice if the executive session was not anticipated in advance. Any such executive session shall be held pursuant to HRS §92-4 and shall be limited to those items described in HRS §92-5(a).

c: Mona Clark, Deputy County Attorney

PUBLIC COMMENTS AND TESTIMONY

Persons wishing to offer comments are encouraged to submit written testimony at least 24-hours prior to the meeting indicating:

1. Your name and if applicable, your position/title and organization you are representing;
2. The agenda item that you are providing comments on; and
3. Whether you will be testifying in person or submitting written comments only.
4. If you are unable to submit your testimony at least 24 hours prior to the meeting, please provide 10 copies of your written testimony at the meeting clearly indicating the name of the testifier; and

While every effort will be made to copy, organize and collate all testimony received, materials received on the day of the meeting or improperly identified may be distributed to the members after the meeting is concluded.

The length of time allocated to persons wishing to present verbal testimony may be limited at the discretion of the chairperson or presiding member.

Send written testimony to:

Board of Ethics
Attn: Barbara Davis
Office of Boards & Commissions
4444 Rice Street, Suite 150
Līhu'e, HI 96766
Email: bdavis@kauai.gov
Fax: 241-5127 Phone: 241-4919

SPECIAL ASSISTANCE

If you need an alternate format or an auxiliary aid to participate, please contact the Boards & Commissions Support Clerk at 241-4919 at least five (5) working days prior to the meeting.

DRAFT TO BE APPROVED

COUNTY OF KAUAI Minutes of Meeting OPEN SESSION

Board/Committee:		BOARD OF ETHICS		Meeting Date		February 11, 2011			
Location		Liquor Conference Room, 1 st Floor, Mo'iheha Building				Start of Session: 1:34 pm		End of Session: 3:10 pm	
Present		Vice Chair Mark Hubbard, Secretary Warren Perry, Members: Kurt Akamine, Brad Nagano, Kathy Clark Also: Deputy County Attorney Mona Clark, Secretary Barbara Davis, Boards & Commissions Administrator John Isobe, Boards & Commissions, Boards & Commissions Administrative Aide Paula Morikami							
Excused		Chair Paul Weil, Robert Farias							
Absent									
SUBJECT		DISCUSSION				ACTION			
Call To Order		County Clerk Peter Nakamura administered the Oath of Office to Kathy Clark prior to the meeting being called to order.				Vice Chair Hubbard called the meeting to order at 1:34 pm noting that Mr. Farias and Mr. Weil were excused from the meeting; Kathy Clark was welcomed as the newest member to the Board Mr. Perry moved to approve the agenda as circulated. Mr. Nagano seconded the motion. Motion carried 5:0			
Approval of Agenda						Mr. Akamine moved to approve the minutes as circulated. Mr. Nagano seconded the motion. Motion carried 5:0			
Approval of Minutes		Regular Session Minutes of January 14, 2011				Ms. Clark moved to receive the communication. Mr. Nagano seconded the motion. Motion carried 5:0			
Communications		BOE 2011-03 Memorandum dated January 10, 2011, from Nadine Nakamura, Councilmember, regarding Possible Conflict of Interest with the January 11, 2011 Special Council Meeting and January 12, 2011 Council Meeting Agenda, Relating to the Nominees for Appointment to the Boards and Commissions for the County of Kaua'i							
Request for Advisory Opinion		RAO 11-001 Request dated January 18, 2011 from Paula Morikami, Office of Boards & Commissions, as to whether there is a conflict for her to sell glass jewelry to private entities during non-County business hours Vice Chair Hubbard noted that Ms. Morikami was available in the meeting but the Board had no questions for her. Mr. Isobe explained to the new Board members that each Advisory Opinion request is determined on a case-by-case basis depending on the nature of the activity as well as the type of work that the individual is performing within the County of Kaua'i and sometimes the recommendation is							

Minutes

SUBJECT	DISCUSSION	ACTION
	<p>that the individual not do those activities. Mr. Isobe also did not think that what Ms. Morikami does in a retail capacity would in any way affect anything that she does within her employment with the County of Kaua'i as she had made it very clear that all of her activities would be done outside of her normal work hours and would not involve the use of any County facilities and / or equipment.</p> <p>Attorney Clark said anybody can be approached at any time by someone to engage in a conflict but there is nothing in what Ms. Morikami is doing that is a conflict.</p>	<p>Mr. Perry moved that the Board render an opinion that there is no conflict with the provisions of the Code of Ethics in Ms. Morikami's outside employment activities involving the sale of glass jewelry. Mr. Akamine seconded the motion.</p>
Business	<p>BOE 2011-04 Discussion and decision-making on suggested Addendum for soliciting additional/corrective information to the Disclosure Form</p> <p>Vice Chair Hubbard pointed out that this is a suggested new form which would allow corrections to one or two sections with a certification from the notary rather than a person having to complete a new Disclosure in its entirety.</p>	<p>Motion carried 5:0</p>
	<p>BOE 2011-05 Discussion and decision-making on suggested revisions to the Disclosure Form</p> <p>Vice Chair Hubbard noted the statement at the top of the Disclosure form regarding when the document becomes a public record and said the Office of Boards and Commissions is the keeper of the records and they can decide when the Disclosure becomes a public record. Mr. Perry simplified the statement to read: This document shall become a public record after the Board of Ethics has deemed it to be complete. Mr. Akamine said part of the duties in the Charter when the Board reviews the disclosure is not to approve it but to examine it. Attorney Clark said one reason the public wants to see the disclosures is so they can participate in any discussion of whether there are conflicts and that goes toward the intent of when the disclosure becomes a public document. Vice Chair Hubbard said if the public had a concern about information in a disclosure they could contact the Office of Boards and Commissions who would inform the individual and the Board. Attorney Clark was not sure how long a document could be delayed, especially if it was found to be incomplete, and still comply with the intent of the Charter but understood giving people an opportunity to correct inadvertent mistakes. Mr. Isobe explained it is not the intent to keep the information from the public; it is to ensure that when that information is</p>	<p>Mr. Nagano moved to approve the Addendum for the Disclosure Statement as presented. Ms. Clark seconded the motion. Motion carried 5:0</p>

SUBJECT	DISCUSSION	ACTION
	<p>released it is as accurate as we can possibly get it and that does not preclude someone from the public coming before the Board later with questions on a Disclosure. Mr. Akamine thought the statement should include "after the Board of Ethics has examined it" because the Board does not really know if it is complete. Mr. Perry said when you deem it to be complete it is based on that you have examined it.</p> <p>The Board accepted the change clarifying the "Position Title" in Section I. In Section III Annual Income, Vice Chair Hubbard agreed with eliminating the language indicating the income received in the previous calendar year; this section was approved with that revision. The Board had no objection to the addition of the request to identify acronyms appropriately. The Board also did not object to the clarification of the wording in Section VIII Representation Before Government Agencies but Vice Chair Hubbard did question if they wanted to leave the representation term at the "last five years" since the Charter does not specify a time limit. Mr. Akamine thought the spirit of representation might not be there if you only look at the past one year while Mr. Nagano felt the intent was for now and not the past. Mr. Perry questioned whether the time frame really made a difference noting that a strong friendship could develop and continue even after the representation ended. Attorney Clark commented that just because a person made the disclosure that they represented somebody does not mean that they have to recuse themselves; that is a different matter.</p>	<p>Mr. Perry moved that the public document statement at the top of the Disclosure read: This document shall become a public record after the Board of Ethics has examined it and deemed it to be complete. Ms. Clark seconded the motion. Motion carried 5:0</p>
Disclosures	<p>a. Jose Diogo (Board of Review) b. Kathleen Clark (Board of Ethics) c. Patrick Stack (Charter Review Commission) d. Daryl Kaneshiro (Board of Water Supply) e. Laurie Yoshida (Cost Control Commission) f. Glen Takenouchi (Cost Control Commission) g. Brant Fuchigami (Cost Control Commission) h. Guy Croydon (Fire Commission) i. Wallace Rezentes, Jr. (Director of Finance) j. Jay Furfaro (County Council) k. Eduardo Topenio (Deputy County Clerk) l. Justin Kollar (Deputy County Attorney)</p>	<p>Mr. Perry moved to approve the Disclosure Form as submitted and to incorporate the motion correcting the Public Document statement. Mr. Akamine seconded the motion. Motion carried 5:0</p>

SUBJECT	DISCUSSION	ACTION
	<p>m. JoAnn Yukimura (County Council)</p> <p>n. Darryl Perry (Chief of Police)</p> <p>o. Mona Clark (Deputy County Attorney)</p> <p>p. Malcolm Fernandez (Director of Personnel Services)</p> <p>q. Ian Costa (Deputy Director, Parks & Recreation)</p> <p>r. Lyle Tabata (Deputy County Engineer)</p> <p>s. Michael Kano (Building Board of Appeals)</p> <p>t. Miles Tanabe (Building Board of Appeals)</p> <p>u. Kurt Akamine (Board of Ethics)</p> <p>v. Mark Hubbard (Board of Ethics)</p> <p>w. Brad Nagano (Board of Ethics)</p> <p>x. Randall Nishimura (Board of Water Supply)</p> <p>y. Leland Kahawai (Board of Water Supply)</p> <p>z. Edgar Justus (Charter Review Commission)</p> <p>aa. Stephanie Aranio (Civil Service Commission)</p> <p>bb. Gilbert Maerina (Civil Service Commission)</p> <p>cc. Lawrence Chaffin, Jr. (Cost Control Commission)</p> <p>dd. William Gibson (Liquor Control Commission)</p> <p>ee. Shirley Akita (Liquor Control Commission)</p> <p>ff. Dane Oda (Liquor Control Commission)</p> <p>gg. James Nishida (Planning Commission)</p> <p>hh. Charles Iona (Police Commission)</p> <p>ii. Sheri Kunioka-Volz (Salary Commission)</p> <p>jj. Robert Crowell (Salary Commission)</p> <p>kk. Marc Guyot (Deputy County Attorney)</p> <p>ll. Melvin Rapozo (County Council)</p> <p>mm. Amy Esaki (First Deputy County Attorney)</p> <p>The Board questioned how they would determine the date of when a person signed the disclosure so it was explained that the document must be signed in front of the notary who in turns writes the date of the disclosure form. For Annual Income, Attorney Clark said the Constitution states that you are supposed to list all sources and amounts of income and in a case before the Supreme Court of Hawai'i there was no objection raised by the Courts where income was listed in ranges. However if someone puts down zero income but getting \$200,000 in income, the person is not disclosing all amounts of income which is required by the Constitution and if people are not reporting income because each source of income is less than \$10,000 then there is a problem with the disclosure form. The Board can resolve that by asking for income between certain amounts or deciding that there is a de</p>	

SUBJECT	DISCUSSION	ACTION
	<p>minimis amount that doesn't have to be disclosed such as \$500 or less. The Constitution does not say to only disclose amounts over \$10,000 from a particular source; that is something we added in for convenience and if it provides a deceptive result then it shouldn't be done. Mr. Perry said they first should make sure there are no conflicts and that no one is getting favorable treatment then devise a form that will give the information the Board can winnow through that may point to a conflict of interest. Additionally, how much can the Board investigate the information that is provided for correctness as opposed to a possibility of conflicts? There is a little bit of deference to a person filling out a form as far as correctness; what he or she puts in is assessed relative to conflicts but not relative to correctness.</p>	<p>Mr. Akamine moved that all Disclosures were examined by the Board of Ethics without further comment or corrective action with exception of g, ff and jj. Ms. Clark seconded the motion. Motion carried 5:0.</p> <p>Disclosure g, Section IV is incomplete; Disclosure ff, Section II and III appear to be in conflict; Disclosure jj, Section III is incomplete.</p>
Next Meeting	<p>Friday, March 11, 2011 – 9:00 am</p> <p>The question arose should a statement be added to the Disclosure Statement requiring a person to write <i>none</i> if they have nothing to disclose. The Board did include this statement on previous Disclosure forms but removed it because a lot of people left that section blank resulting in the forms being returned for completion.</p>	<p>Ms. Clark exited the meeting at 3:05 pm</p> <p>March meeting: Review Disclosure Statement, Section III Annual Income to determine if the \$10,000 reporting level needs to be revised.</p>
Adjournment		<p>Attorney Clark will provide copies of the Constitutional provision to show what is required at the State level at the next meeting.</p>
Submitted by:	<p>Barbara Davis, Secretary</p>	<p>Mr. Perry moved to adjourn the meeting at 3:10 pm. Mr. Nagano seconded the motion. Motion carried 4:0</p>

Reviewed and Approved by:

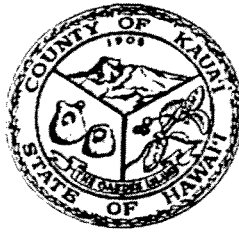
Mark Hubbard, Vice Chair

() Approved as is.

() Approved with amendments. See minutes of _____ meeting.

COUNTY COUNCIL

Jay Furfaro, Chair
JoAnn A. Yukimura, Vice Chair
Tim Bynum
Dickie Chang
Derek S. K. Kawakami
Nadine K. Nakamura
Mel Rapozo



Council Services Division
3371-A Wilcox Road
Lihue, Kauai, Hawaii 96766

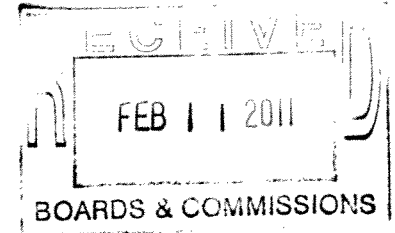
OFFICE OF THE COUNTY CLERK

Peter A. Nakamura, County Clerk
Eduardo Topenio, Jr., Deputy County Clerk

Telephone (808) 241
Fax (808) 241
Email cokcouncil@kauai.gov

MEMORANDUM

February 9, 2011



TO: Jay Furfaro, Council Chair
And Members of the County Council

FROM: Derek S. K. Kawakami, Councilmember *DK*

RE: Possible Conflict of Interest
Bill No. 2400, Relating to Plastic Bag Reduction
February 9, 2011 Council Agenda

Pursuant to Section 20.04(B), Charter, Sec. 3-1.9, Kaua'i County Code 1987, and Council Rule No. 8, this memo is to provide written disclosure on the record, of a possible conflict of interest on the following because of my employment position and family involvement in retail and food service establishments:

- Proposed Draft Bill (No. 2400)—A BILL FOR AN ORDINANCE AMENDING ARTICLE 19, CHAPTER 22, KAUAI COUNTY CODE 1987, AS AMENDED, RELATING TO PLASTIC BAG REDUCTION.

For reasons stated above, I will recuse myself from any discussion and deliberation on this item (Bill No. 2400).

Thank you for your attention in this matter.

cc: Board of Ethics

2-23-11 Council Meeting Agenda

SI:\V:\MichalNakashima\dk 2010-2012\conflict of interest 2011-952.dotx

BOE 2011-06

COUNTY COUNCIL

Jay Furfaro, Chair
JoAnn A. Yukimura, Vice Chair
Tim Bynum
Dickie Chang
Derek S. K. Kawakami
Nadine K. Nakamura
Mel Rapozo



Council Services Division
3371-A Wilcox Road
Līhu'e, Kaua'i, Hawai'i 96766

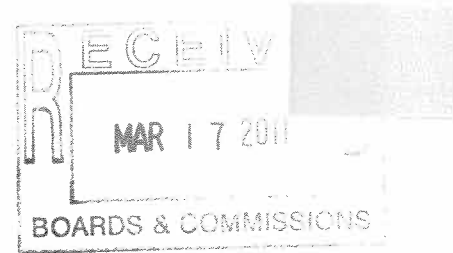
OFFICE OF THE COUNTY CLERK

Peter A. Nakamura, County Clerk
Eduardo Topenio, Jr., Deputy County Clerk

Telephone (808) 241-4188
Fax (808) 241-6349
Email cokcouncil@kauai.gov

MEMORANDUM

March 16, 2011



To: Jay Furfaro, Council Chair
and Members of the Kaua'i County Council

From: Nadine K. Nakamura, Councilmember *NKN*

Re: POSSIBLE CONFLICT OF INTEREST: RESOLUTION NO. 2011-42
(FILING OF AN ANNUAL ACTION PLAN FOR THE CDBG
ALLOCATION)

Pursuant to Section 20.04(B) of the Kaua'i County Charter, Section 3-1.9 of the Kaua'i County Code, and Rule 8 of the Rules of the Kaua'i County Council, I hereby provide written disclosure on the record of a possible conflict of interest relating to Resolution No. 2011-42, because I am a Board Member of the Hawai'i Community Reinvestment Corporation, which is designated to receive CDBG funding.

For this reason, I am recusing myself from discussing or participating in the decision making regarding Resolution No. 2011-42.

If you have any questions, please contact me. Thank you for your attention to this matter.

cc: April 6, 2011 Council Meeting Agenda
Ethics Commission

CNT/wa2011-1045

BOE 2011-07

March 23, 2011

Members of the Board of Ethics
c/o Office of Boards and Commissions
4444 Rice Street, Suite 150
Līhu'e, HI 96766

Dear Members of the Board of Ethics:

I am writing to request an Advisory Opinion on whether it is appropriate for me to engage in private consulting business:

My current position is Special Assistant the Housing Director. As such I am involved in project development, grant funding and legislative efforts for the County Housing Agency.

My proposed business would be a planning consultant service primarily writing Environmental Assessments for small projects, including those proposed by County Agencies other than the Housing Agency. I would not contract directly with the County but rather as a sub contractor to parties already contracted with the County.

With regard to Section 3-1.7 of the Kauai County Code:

- (a) No employee shall take any official action directly affecting:*
 - (1) A business or other undertaking in which he has a substantial financial interest; or*
 - (2) A private undertaking in which he is engaged as legal counsel, advisor, consultant, representative, or other agency capacity.*

The Housing agency has limited official actions with regard to proposed project requiring an Environmental Assessment. The Housing Agency can require or exempt affordable housing requirements for certain developments and can engage in the building of affordable units.

RAO 11-002

I would not be contracting on any development proposed by the Housing Agency nor any development which may have an affordable housing requirement, despite the fact that my financial interest would not be in the completion of the development but in its assessment.

(b) No councilman or employee shall acquire financial interests in any business or other undertaking which he has reason to believe may be directly involved in official action to be taken by him.

Similar to 3-1.7(a), I would avoid all projects with which the Housing Agency may have authority to require an affordable housing exaction.

(c) No councilman or employee shall assist any person or business or act in a representative capacity before any County agency for a contingent compensation in any transaction involving the County.

As mentioned I would act only as a subcontractor providing the environmental assessment and not in any capacity to gain approval before any County Agency. Any representation before the County would be handled by the applicant or their lead consultant.

(d) No councilman or employee shall assist any person or business or act in a representative capacity for a fee or other compensation to secure passage of a bill or to obtain a contract, claim, or other transaction or proposal in which he has participated or will participate as a councilman or employee, nor shall he assist any person or business or act in a representative capacity for a fee or other compensation on a bill, contract, claim or other transaction or proposal before the Council or agency of which he is an employee or councilman.

I would not be contracting on any development proposed by the Housing Agency and any services for Environmental Assessments would be contracted after a contract with the County was executed.

(e) No employee shall assist any person or business or act in a representative capacity before a County agency for a fee or other consideration on any bill, contract, claim, or other transaction or proposal involving official action by the agency if he has official authority over that County agency unless he has

by the agency if he has official authority over that County agency unless he has complied with the disclosure requirements of Section 3-1.9. (Sec. 20.01, Art. XX, Charter; Sec. 25, C.O. 1971; Sec, 3-1.7, R.C.O. 1976)

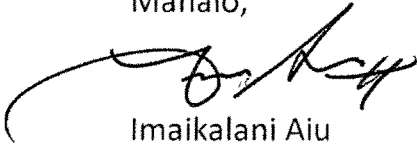
My position with the County does not carry any authority over the Housing Agency

In analyzing the County Code of Ethics against the business I am proposing it would seem that, with careful project selection and the understanding that this would be a venture limited in size that I could carry out this business without a conflict of interest.

I hope the board concurs with this conclusion and look forward to discussing this with you.

Please let me know if you have any questions. Thank you.

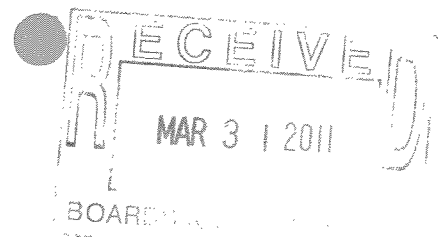
Mahalo,

A handwritten signature in black ink, appearing to read 'Imaikalani Aiu', with a long, sweeping horizontal line extending to the left.

Imaikalani Aiu

Special Assistant to the Housing Director

Board of Ethics
c/o Office of Boards & Commissions
4444 Rice Street, Suite 150
Lihu'e, Hawai'i 96766



Re: Request for Advisory Opinion by Deputy County Attorney Mauna Kea Trask.

Aloha Honorable Members of the County of Kaua'i Board of Ethics,

I am requesting and advisory opinion pursuant to Rule 5.1 of the Kaua'i County Board of Ethics Rules and Regulations. I submit the following information pursuant to the requirements of Rule 5.1 (b).

My name is Mauna Kea Trask. I am a Deputy County Attorney and I work within the Office of the County Attorney for the County of Kaua'i. The nature and duties of my services or employment are detailed in the Charter of the County of Kaua'i Article VIII.

The following is a statement of Facts and Circumstances upon which the Board is to make its determination.

I have been recently offered an opportunity to be in a local documentary about fishing and the fishing industry in Hawai'i. My understanding is that I will be the narrator/ main character in this documentary and I will be traveling around Hawai'i showing the different types of ways of fishing from ancient Hawaiian methods to modern day commercial fishing practices. According to the producer/ talent agreement (see attached) my employment will not involve and activity that would run afoul of 20.02 (a)-(f). I have been given permission to participate in this production by my boss County Attorney Alfred Castillo Jr. I have also been advised by the Office of Disciplinary Council that I will not be in violation of any Hawai'i Rules of Professional Conduct governing Hawai'i state attorneys.

The question presented is as follows, is there a violation of the County Code of Ethics if I am involved in this film production?

Thank you for your consideration in this matter. Please contact me if you need any supplemental information pursuant to Rule 5.1 (c).

Mahalo,

Mauna Kea Trask
Deputy County Attorney

RAO 11-003

PRODUCER/TALENT AGREEMENT

This Agreement is entered into as of the ____ day of _____, 2011, between Kauai Girl Productions, LLC (hereinafter "Producer") and Mauna Kea Trask (hereinafter "Talent").

A. Producer intends to produce a half hour television program for the Public Broadcasting System (PBS) based upon that certain Production Proposal tentatively entitled "Fishing Pono" (hereinafter "Production"). Producer has applied for and been approved to receive production funds from PBS for the program.

B. Producer wishes to utilize the services of Talent in connection with the Production upon the terms and conditions herein contained.

Accordingly, it is agreed as follows:

1. PHOTOPLAY, ROLE, SALARY and GUARANTEE: Producer hereby engages Talent to render services as such in the role of narrator and actor in the Production, at the salary of \$500 per work day, and \$250 for travel days. If work and travel occur on the same day, the higher rate shall apply. Talent accepts such engagement upon the terms herein specified. Producer guarantees that it will furnish Talent not less than 10 days' employment.
2. TERM. The term of employment hereunder shall begin on or about April 11, 2011 ("Start Date") and continue until October 31, 2011, or until the completion of photography and recordation of said role.
3. TALENT'S ADDRESS: All notices which the producer is required or may desire to give to Talent may be given via email at " _____ ," or such other address as designated by Talent.
4. TALENT'S CELL PHONE: Talent must keep the Producer's office advised as to where Talent may be reached by cell without unreasonable delay. The current cell number of Talent is (808) _____
5. NON UNION PICTURE: Producer makes the material representation that it is not a signatory to the Screen Actors Guild collective bargaining agreement. Talent warrants that Talent is not a member of any union or guild memberships which would prevent Talent from working in this Production.
6. NAME AND LIKENESS: Producer shall have the exclusive right to use and to license the use of Talent's name, photograph, likeness, and voice in connection with the Production, including the commercial advertising, publicizing, exhibition and/or other promotions of the Production.

7. TRAVEL EXPENSES: Producer shall be responsible for all travel and lodging expenses in connection with the Production. Producer shall provide meals during principal photography, or a per diem allowance of \$75 per day, whichever is more convenient to the location of the principal photography.

8. INCLUSIVE PAYMENTS: All payments to Talent hereunder shall be deemed to be equitable and inclusive remuneration for all services rendered by Talent in connection with the program.

9. ARBITRATION: Any controversy or claim arising out of or relating to this agreement shall be settled by arbitration in accordance with the Rules of the American Arbitration Association.

IN WITNESS WHEREOF, the parties have executed this agreement on the day and year first above written.

AGREED TO AND ACCEPTED:

(Talent's signature)

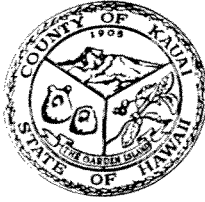
(Talent's name)

Talent's Employment Identification or Social Security No.

AGREED TO AND ACCEPTED:

KAUAI GIRL PRODUCTIONS, LLC

By _____
Teresa Tico, its President



**BOARD OF ETHICS
COUNTY OF KAUAI**

DISCLOSURE STATEMENT

**THIS DOCUMENT SHALL BECOME A PUBLIC RECORD AFTER THE BOARD OF ETHICS HAS
EXAMINED IT AND DEEMED IT TO BE COMPLETE**

I. GENERAL INFORMATION

NAME:

(First)

(Middle)

(Last)

Name of Spouse: _____

POSITION TITLE: Indicate your elected or appointed County position, Board or Commission, or the public office you are filing for:

- ☐ I am a Candidate for Public Office: _____
(Name of Public Office)
- ☐ I am an Elected or Appointed Official of Kaua'i County: _____
(Position Title)
- ☐ I am an Appointed member of a Board or Commission _____
(Name of Board or Commission)

II. EMPLOYMENT list all employment other than County employment (including part time employment)
EMPLOYER POSITION

III. ANNUAL INCOME - list all sources and amounts of income greater than \$10,000 (i.e., wages, income from rental of real estate, loan repayments, stock dividends, interest on savings or bonds, retirement income, social security etc.) including your County of Kaua'i income

Source (required: name of company)

Annual Income (you may round to nearest \$10,000. If more than \$100,000, you may list >\$100,000)

IV. BUSINESS INTERESTS - list all businesses, partnerships or sole proprietorships and the percentage of interest in which you have an interest (please identify acronyms appropriately).

<u>Business Name</u>	<u>Percent Ownership Interest</u>	<u>Check here if Business contracts With the County</u>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

V. POSITION HELD IN BUSINESS OR ORGANIZATION - List in the space below the information requested for all organizations (whether corporations, non-profit corporations, unincorporated organizations, partnerships, etc., and identify acronyms appropriately) in which you are an official of the organization (for example president, vice-president, secretary, treasurer, trustee, agent, board member, etc.)

<u>Organization Name</u>	<u>Position</u>

VI. CREDITORS - List the name of every person, business, organization or corporation (excluding credit cards) to whom you owe money.

VII. REAL PROPERTY – list the street address or tax map key number of any real estate in which you have an interest. (pursuant to The Constitution of the State of Hawai'i, Article XIV)

<u>Address/Location</u>	<u>Tax Map Key Number</u>

VIII. REPRESENTATION BEFORE GOVERNMENT AGENCIES - List the names of all persons, corporations, organizations, partnerships, etc., that you have represented before any government agency (Federal, State or County), board or commission in the last five years:

Name of person, company, etc.

Board/Commission/Agency

Year

IX. CREDITOR INTEREST IN INSOLVENT BUSINESSES - List the name of any insolvent business which owes money to you.

X. CERTIFICATION – MUST BE SIGNED IN FRONT OF A NOTARY PUBLIC

I hereby certify that the above statements made by me are true, complete and accurate to the best of my knowledge and belief. I further understand that if I acquire or possess an interest that might reasonably tend to create a conflict of interest with my duties or authority, or I or a member of my immediate family, which includes parents, siblings, spouse or children, is an owner, officer, executive director or director of an organization in any matter pending before me, I shall make full disclosure of the conflict of interest and shall not participate in said matter.

Signature

Doc. Date: _____ **# Pages:** _____

Doc. Description: _____

Notary Name: _____ **Circuit** _____

Subscribed and sworn to before me this _____ **day of** _____, **20**__

Notary Public, State of Hawai'i

My commission expires: _____

hawaii legislative reference bureau

[publications](#) | [reference desk](#) | [library catalog](#) | [state directory](#) | [iClips](#) | [guide to lrb](#)



section titles

[preamble](#)[article I |](#)[article II |](#)[article III |](#)[article IV |](#)[article V |](#)[article VI |](#)[article VII |](#)[article VIII |](#)[article IX |](#)[article X |](#)[article XI |](#)[article XII |](#)[article XIII |](#)[article XIV - Code of Ethics |](#)[1. Code of Ethics |](#)[article XV |](#)[article XVI |](#)[article XVII |](#)[article XVIII |](#)[index \(pdf, 45K\)](#)[disclaimer |](#)[updated 01.01.07 |](#)[<<home |](#)

THE CONSTITUTION OF THE STATE OF HAWAII

ARTICLE XIV

CODE OF ETHICS

The people of Hawaii believe that public officers and employees must exhibit the highest standards of ethical conduct and that these standards come from the personal integrity of each individual in government. To keep faith with this belief, the legislature, each political subdivision and the constitutional convention shall adopt a code of ethics which shall apply to appointed and elected officers and employees of the State or the political subdivision, respectively, including members of the boards, commissions and other bodies.

Each code of ethics shall be administered by a separate ethics commission, except the code of ethics adopted by the constitutional convention which shall be administered by the state ethics commission. The members of ethics commissions shall be prohibited from taking an active part in political management or in political campaigns. Ethics commissioners shall be selected in a manner which assures their independence and impartiality.

Each code of ethics shall include, but not be limited to, provisions on gifts, confidential information, use of position, contracts with government agencies, post-employment, financial disclosure and lobbyist registration and restriction. The financial disclosure provisions shall require all elected officers, all candidates for elective office and such appointed officers and employees as provided by law to make public financial disclosures. Other public officials having significant discretionary or fiscal powers as provided by law shall make confidential financial disclosures. All financial disclosure statements shall include, but not be limited to, sources and amounts of income, business ownership, officer and director positions, ownership of real property, debts, creditor interests in insolvent businesses and the names of persons represented before government agencies. [Add Const Con 1978 and election Nov 7, 1978]

HAWAII STATE ETHICS COMMISSION
Disclosure Form Instructions

QUESTIONS? If you have any questions regarding the following instructions, contact the Hawaii State Ethics Commission for assistance.

WHO MUST FILE? HRS §84-17(c) lists the officers and employees, including board and commission members, who are required to file disclosures. HRS §84-17(d) identifies the disclosures that are available for public review.

WHAT TYPES OF FINANCIAL DISCLOSURE STATEMENTS ARE THERE? WHEN ARE THEY FILED?

- **Initial Statement:** within thirty (30) days of being elected or appointed to a state position required to file a financial disclosure.
- **Annual Statement:** between January 1 and May 31 of each year.
- **Exit Statement:** within thirty (30) days of separation from a state position if a prior financial disclosure has not been filed within one hundred and eighty (180) days preceding the date of separation.

WHAT TIME PERIOD DOES THE FINANCIAL DISCLOSURE STATEMENT COVER? WHAT IS THE "DISCLOSURE PERIOD"?

The "disclosure period" is the period of time for which you must disclose all pertinent information. If further clarification is needed, please contact the Hawaii State Ethics Commission.

- **Initial Statement:** The disclosure period covers January 1 of the preceding calendar year to the date of filing.
- **Annual Statement:** The disclosure period covers the date of the last filing to the date of the current filing.
- **Exit Statement:** The disclosure period covers the date of the last filing to the date of the current filing.

WHERE CAN FINANCIAL DISCLOSURE FORMS BE OBTAINED Forms are available from the Hawaii State Ethics Commission or may be downloaded from the State Ethics Commission's website at www.hawaii.gov/ethics.

WHERE MUST THE FINANCIAL DISCLOSURE FORM BE FILED? The financial disclosure form must be filed with the Hawaii State Ethics Commission, 1001 Bishop Street, American Savings Bank Tower, Suite 970, Honolulu, Hawaii or mailed to the Hawaii State Ethics Commission, P. O. Box 616, Honolulu, Hawaii 96809.

WHAT INTERESTS MUST BE DISCLOSED? HRS §84-17(f) lists those interests that must be disclosed. These include the source and amount of income of \$1,000 or more received during the preceding calendar year for services rendered; ownership or beneficial interests in a business having a value of \$5,000 or more or equal to ten percent of the ownership of the business; fiduciary relationships held in a business; the name of creditors to whom the value of \$3,000 or more was owed; real property, other than the personal residence(s) of the filer or the filer's spouse or dependent children, in which an interest is held, acquired, or transferred whose value is \$10,000 or more;

Sample Form Attached

BOE 2011-05 b)

creditor interests, having a value of \$5,000 or more, in an insolvent business; and clients represented before state agencies. **Note:** The financial disclosure statement shall disclose, in addition to the financial interests of the filer, the financial interests of the filer's spouse and dependent children.

IMPORTANT: PENALTIES FOR FAILURE TO FILE OR FILING LATE HRS §84-17(i) states that failure to file a disclosure of financial interests constitutes a violation of chapter 84, HRS. The Hawaii State Ethics Commission may assess monetary penalties against persons who fail to file a financial disclosure statement when due. Failure to file a disclosure by the applicable deadline will result in an initial penalty of \$50. The Commission will notify a person by registered mail of the failure to file. Failure to file a disclosure by 4:30 p.m. on the tenth day after the notification has been mailed will result in an additional penalty of \$10 for each day a disclosure remains unfiled. The Commission may waive any monetary penalties assessed for good cause shown. The filing of an incomplete or false disclosure also constitutes a violation of chapter 84, HRS. Persons who have filed disclosures but have not reported all financial interests as required by law should immediately contact the Hawaii State Ethics Commission for further instructions. Other penalties for failure to file a disclosure may include (but are not limited to) reprimand, probation, demotion, suspension, or discharge.

GENERAL INSTRUCTIONS

Step 1: On page 1 of the financial disclosure form, provide your name; the names of your spouse and any dependent children; your residence and mailing addresses; and your telephone numbers (business and residential); the name of your department, agency, board, or commission; your state position; and your term of office. **The information on page 1 is for office use only by the Hawaii State Ethics Commission.** On page 2, complete the heading by providing your name, state position held, state agency/division, and term of office.

Step 2: Complete Items 1 through 10 of the financial disclosure form (see the item-by-item instructions on the next page). You are required to report your interests and the interests of your spouse and any dependent children. In listing information, indicate that a financial interest belongs to you, your spouse, a dependent child, or is jointly held. Do so by marking "F" for filer (you), "SP" for spouse, "DC" for dependent child, or "JT" for jointly held, in the left-hand column. **If you have no reportable interests in any item, check the appropriate space on the form.** If more space is needed to answer any item, attach an additional sheet and check the space under the appropriate item number. Please refer by number to the item or items that are being completed on additional sheets.

Step 3: Sign the **certification** at the bottom of the form and fill in the date on which you sign the form. The filing is not valid without an original signature. **Blue ink is recommended.**

Step 4: Make sure you retain a copy for your records and keep it for reference for future filings.

Step 5: File your completed form with the Hawaii State Ethics Commission.

DEFINITIONS

The following definitions, set forth in HRS §84-3, must be adhered to as you complete the form:

"Business" includes a corporation, partnership, a sole proprietorship, a trust or foundation, or any other individual or organization carrying on a business, whether or not operated for profit.

"Compensation" means any money, thing of value, or economic benefit conferred on or received by any person in return for services rendered or to be rendered by oneself or another.

"Employment" means any rendering of services for compensation.

"Financial interest" means an interest held by an individual, the individual's spouse, or dependent children which is:

1. An ownership interest in a business.
2. A creditor interest in an insolvent business.

3. An employment, or prospective employment for which negotiations have begun.
4. An ownership interest in real or personal property.
5. A loan or other debtor interest
6. A directorship or officership in a business.

"State agency" includes the State, the legislature and its committees, all executive departments, boards, commissions, committees, bureaus, offices, the University of Hawaii, and all independent commissions and other establishments of the state government but excluding the courts.

ITEM BY ITEM INSTRUCTIONS

These instructions explain the information required by each of the specific items noted in the form. Where an item calls for the stating of a dollar amount, you may indicate the value of the interest by using the appropriate letter from the following code:

Financial Amount Code	
A	Less than \$1,000
B	At least \$1,000 but less than \$10,000
C	At least \$10,000 but less than \$25,000
D	At least \$25,000 but less than \$50,000
E	At least \$50,000 but less than \$100,000
F	At least \$100,000 but less than \$150,000
G	At least \$150,000 but less than \$250,000
H	At least \$250,000 but less than \$500,000
I	At least \$500,000 but less than \$750,000
J	At least \$750,000 but less than \$1,000,000
K	At least \$1,000,000 or more

For example, for Item 1 of the disclosure form, if your income from an employment source is \$45,000 per year, you may indicate your compensation by using the letter "D" from the financial amount code in place of stating the exact dollar amount of your income.

Item 1. Income For Services Rendered For Preceding Calendar Year: You must report the source and amount of all income of \$1,000 or more, for services rendered, received during the preceding calendar year by you, your spouse, or any dependent children. The term "source" includes any state or other government agency. You must report the name of the source of the income, the amount of income received, and the nature of the services provided. For example, if you are employed by a business, then report the name of the business, the amount of income received during the preceding calendar year, and the nature of the services you provided to the business. When disclosing the source, provide the complete name (not acronyms), and the address of the business or source from which the income was derived. The interests of your spouse and any dependent children must also be reported. Note: Rental income received is also reportable.

Item 2. Ownership or Beneficial Interests in Businesses: You must report the amount and identity of every ownership or beneficial interest held during the disclosure period by you, your spouse, or any dependent children in any business in or outside of the State where that interest has a value of \$5,000 or more or is equal to 10% or more of the ownership of the business, including, but not limited to, stock. Report the complete name (not acronyms) and address of the business, the kind of activity the business is engaged in, and the nature of your, your spouse's, or any dependent children's interest in the business. If you, your spouse, or any of your dependent children is a shareholder of stock or mutual fund(s), you must report the number of shares owned or the approximate value of the stock or mutual fund(s).

Note: You need not report interests in the form of accounts in a federal or state-regulated financial institution (e.g., checking or savings accounts), mutual insurance policies, or individual items in a mutual fund or blind trust if the mutual fund or blind trust has been otherwise disclosed under this item. Also, you need not report retirement accounts such as 401(K)s and IRAs, or accounts held under the deferred compensation plan for state employees.

Item 3. Transfer of Ownership or Beneficial Interest in Businesses: If an ownership or beneficial interest reported in Item 2 was transferred during the disclosure period, indicate the date of the transfer.

Item 4. Creditors: You must report the name of each creditor to whom \$3,000 or more was owed during the disclosure period by you, your spouse, or any dependent children. You are required to report the original amount owed and the present amount owed. Accounts of a business entity payable in the ordinary course of business need not be reported. Debts arising out of retail installment transactions for the purchase of consumer goods (e.g., credit card purchases) also need not be reported.

Item 5. Officerships, Directorships, Trusteeships: You are required to disclose every officership, directorship, trusteeship, or other fiduciary relationship held during the disclosure period by you, your spouse, or any dependent children in a business, including corporations, associations, unions, partnerships, trusts or foundations, and nonprofit businesses and associations. You must also indicate the annual compensation received, if any, and the term of office.

Item 6. Interests in Real Property Held: You are required to disclose the street address and the tax map key number (if a tax map key number exists) of any real property in or outside of the State, if the interest has a value of \$10,000 or more and was held by you, your spouse, or any dependent children during the disclosure period. The value of the real property interest may be computed in any reasonable manner. You may, for example, compute the value from the property's assessed valuation. You are not required to disclose real property held that is your personal residence or the personal residence of your spouse or dependent children.

Note: If you, your spouse, or any dependent children hold interests in real property placed in a trust, you may be required to disclose the interests. For clarification, contact the Hawaii State Ethics Commission.

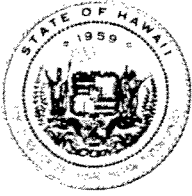
Item 7. Interests in Real Property Acquired: You are required to disclose any interest in real property in or outside of the State, if the interest has a value of \$10,000 or more and was acquired by you, your spouse, or any dependent children during the disclosure period. You must report the amount that was paid for the property and the nature of the consideration paid, i.e., whether the payment was in cash, exchange, agreement of sale, etc. You must also provide the name of the person from whom the property was acquired (the person receiving the consideration). In addition, you must report the street address and the tax map key number (if tax map key number exists) of the real property in which the interest was acquired. You are not required to disclose real property acquired that is your personal residence or the personal residence of your spouse or dependent children.

Item 8. Interests in Real Property Transferred: For this item, the method of reporting is the same as that indicated for Item 7. Here, however, you must report any interest in real property in or outside of the State, if the interest has a value of \$10,000 or more and was transferred by you, your spouse, or any dependent children during the disclosure period. You must report the amount received; the nature of the consideration (cash, exchange, agreement of sale, etc.); and the street address and the tax map key number (if tax map key number exists) of the real property. You must also provide the name of the person to whom the real property was transferred (the person furnishing the consideration). You are not required to disclose real property transferred that was your personal residence or the personal residence of your spouse or dependent children.

Item 9. Clients Personally Represented Before State Agencies: You are required to report the name of any client you personally represented before state agencies for a fee or compensation during the disclosure period. You must also report the names of the particular state agencies involved. For example, if you represented a client before a particular state board, then report the name of that board. If the representation involved ministerial matters or involved representation of a person in your state capacity where you received no additional compensation (aside from your state salary), the representation need not be disclosed. You need not report clients personally represented before the courts.

Item 10. Creditor Interests in Insolvent Businesses: You are required to report the amount and identity of every creditor interest in an insolvent business held by you, your spouse, or any dependent children during the disclosure period. Only interests having a value of \$5,000 or more need to be disclosed. An insolvent business is one that is unable to pay debts as they become due. Disclose the name and address of the business, the nature of the interest held, and the value of the interest held.

IMPORTANT: You must sign and date your disclosure form. Blue ink is recommended.



HAWAII STATE ETHICS COMMISSION
DISCLOSURE OF FINANCIAL INTERESTS (LONG FORM)
(To be filed by elected officials, state employees, and board and commission members)

This Page Is For Office Use Only

HAWAII STATE ETHICS COMMISSION 1001 Bishop Street, Suite 970 Honolulu, Hawaii 96813 (P.O. Box 616, Honolulu, Hawaii 96809) Telephone: (808) 587-0460 Fax: (808) 587-0470 Email: ethics@hawaiiethics.org Web site: www.hawaii.gov/ethics		<div style="text-align: right; font-size: small;">For Office Use Only</div> DATE REC'D: FILE NO.:	
Please read instructions carefully before filling out this form. For this page (page 1), the personal information you provide (e.g., names of spouse and children, residence address, and residence telephone number) is for office use only by the Hawaii State Ethics Commission.			
FULL NAME (Last, First, Middle) DOE, John			
SPOUSE'S FULL NAME (Last, First, Middle) DOE, Jane			
DEPENDENT CHILDREN'S FULL NAMES (Last, First, Middle) DOE, John Jr.			
RESIDENCE ADDRESS 0000 Mohala Way, Honolulu, HI 96800			
MAILING ADDRESS SAME AS ABOVE			
BUSINESS TELEPHONE (808) 546-0000		STATE <u>DEPARTMENT/DIVISION</u> OR <u>BOARD/COMMISSION</u> Department of Building Maintenance	
RESIDENCE TELEPHONE (808) 336-0000		STATE POSITION HELD Purchasing Agent	
		TERM OF OFFICE:(mm/dd/yy) Begin: 7/1/20XX End: N/A	

Sample Form

HAWAII STATE ETHICS COMMISSION

DISCLOSURE OF FINANCIAL INTERESTS (LONG FORM)

NAME (Last, First, Middle): DOE, John STATE POSITION HELD: Purchasing Agent DEPT/DIVISION or BOARD/COMMISSION: Department of Building Maintenance TERM OF OFFICE (Begin/End): 7/1/20XX / N/A	Date Received:
--	----------------

FOR EACH ITEM, EXCEPT ITEM 9, DISCLOSE INTERESTS OF FILER, SPOUSE, AND DEPENDENT CHILDREN.
 USE THE ABBREVIATIONS: "F" for filer, "SP" for spouse, "DC" for dependent children, and "JT" for joint interests of the spouse and filer.

ITEM 1: INCOME FOR SERVICES RENDERED FOR PRECEDING CALENDAR YEAR

List the source (the term "source" also includes any state or other government agencies) and amount of all income of \$1,000 or more received during the preceding calendar year, for services rendered, and the nature of the services rendered.

F,SP,DC,JT	NAME AND ADDRESS OF SOURCE OF INCOME	AMOUNT	SERVICES RENDERED
F	State of Hawaii Department of Building Maintenance 0000 Punchbowl Street Honolulu, HI 96800	E	Purchasing Agent
F	John Doe - Income earned under G.E. Tax License	B	Tax Preparation
SP	Jane Doe Rentals 0000 Vineyard Blvd. Honolulu, HI 96800	C	Rental of Property
DC	Nonesuch Construction, Inc. 0000 Puuloa Road Honolulu, HI 96800	B	Residential Construction

☐ Check here if entry is None

☐ Check here if additional sheets are attached

ITEM 2: OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES

List the amount and identity of every ownership or beneficial interest held during the disclosure period in any business in or outside of the State if the interest has a value of \$5,000 or more or is equal to 10% or more of the ownership of the business.

F,SP,DC,JT	BUSINESS NAME AND ADDRESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE OR NO. OF SHARES
F	Keyboard Computers, Inc. 0000 Valley Street Cupertino, CA 54321	Computer Sales	Stockholder	1,000 shares
SP	Jane Doe Rentals 0000 Vineyard Blvd. Honolulu, HI 96800	Property Rentals	100% Ownership of Business	E
JT	TRK Mutual Fund c/o Investors, Inc. 0000 North Avenue Chicago, IL 44444	Mutual Fund	Shareholder	C

☐ Check here if entry is None

☐ Check here if additional sheets are attached

ITEM 3: TRANSFER OF OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES

List any ownership or beneficial interests in businesses transferred during the disclosure period and the date of transfer.

F,SP, DC,JT	OWNERSHIP OR BENEFICIAL INTEREST TRANSFERRED DURING THIS DISCLOSURE PERIOD	DATE OF TRANSFER
F	Transferred 1,000 shares of stock in: Keyboard Computers, Inc. 0000 Valley Street Cupertino, CA 54321	10/30/20XX
<input type="checkbox"/> Check here if entry is None <input type="checkbox"/> Check here if additional sheets are attached		

ITEM 4: CREDITORS

List the name of each creditor to whom the value of \$3,000 or more was owed during the disclosure period and the original amount and amount outstanding. Exclude debts from retail installment transactions for the purchase of consumer goods.

F,SP, DC,JT	NAME OF CREDITOR	ORIGINAL AMOUNT OWED	AMOUNT OUTSTANDING
JT	Lowest Rate Mortgage Company	H	H
SP	Everybody's Credit Union	D	C
<input type="checkbox"/> Check here if entry is None <input type="checkbox"/> Check here if additional sheets are attached			

ITEM 5: OFFICERSHIPS, DIRECTORSHIPS, TRUSTEESHIPS

List every officership, directorship, trusteeship, or other fiduciary relationship held during the disclosure period in any business or organization, the term of office, and the annual compensation.

F,SP, DC,JT	NAME AND ADDRESS OF BUSINESS	TITLE HELD	TERM OF OFFICE	ANNUAL COMPENSATION
F	Good Works Charities 0000 Nuuanu Lane Honolulu, HI 96800	President, Chair Board of Directors	1996-(no end date)	D
SP	The Garden and Plant Shop 00-000 Kamehameha Hwy. Mililani, HI 96700	Director	1999-20XX	None
F	The Lani Lee Trust 000 Wilder Street Honolulu, HI 96800	Trustee	2000-20XX	A
<input type="checkbox"/> Check here if entry is None <input type="checkbox"/> Check here if additional sheets are attached				

ITEM 6: INTERESTS IN REAL PROPERTY HELD, EXCLUDING PERSONAL RESIDENCE(S)

List interests in real property in or outside of the State held during the disclosure period, if the interest has a value of \$10,000 or more. Real property that is your personal residence or the personal residence of your spouse or dependent children need not be listed.

F,SP, DC,JT	STREET ADDRESS	TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)	VALUE
SP	0000 Mooheau St., Unit 1600 Honolulu, HI 96800	9-0-0-00-00	E
JT	0000 Lark Ave., Apt. 2 Las Vegas, NV 22200		G

☐ Check here if entry is None☐ Check here if additional sheets are attached**ITEM 7: INTERESTS IN REAL PROPERTY ACQUIRED, EXCLUDING PERSONAL RESIDENCE(S)**

List interests in real property in or outside of the State acquired during the disclosure period, if the interest has a value of \$10,000 or more. Real property that is your personal residence or the personal residence of your spouse or dependent children need not be listed.

F,SP, DC,JT	STREET ADDRESS AND TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)	AMOUNT & NATURE OF CONSIDERATION PAID	NAME OF PERSON RECEIVING THE CONSIDERATION
JT	0000 Pebble Lane Orlando, FL 11100	H, Cash	William and Mary Seller

☐ Check here if entry is None☐ Check here if additional sheets are attached**ITEM 8: INTERESTS IN REAL PROPERTY TRANSFERRED, EXCLUDING PERSONAL RESIDENCE(S)**

List interests in real property in or outside of the State transferred during the disclosure period, if the interest has a value of \$10,000 or more. Real property that was your personal residence or the personal residence of your spouse or dependent children need not be listed.

F,SP, DC,JT	STREET ADDRESS AND TAX MAP KEY NUMBER (IF TAX MAP KEY NUMBER EXISTS)	AMOUNT & NATURE OF CONSIDERATION RECEIVED	NAME OF PERSON FURNISHING THE CONSIDERATION
JT	0000 Poomau St., Unit 200 Hilo, HI 96700 Tax Map Key No. 8-0-0-00-00	F, Cash	Robert Buyer

☐ Check here if entry is None☐ Check here if additional sheets are attached

ITEM 9: CLIENTS PERSONALLY REPRESENTED BEFORE STATE AGENCIES

List the names of clients personally represented by you before state agencies, except in ministerial matters, for a fee or compensation during the disclosure period, excluding clients represented before courts.

NAME OF CLIENT	NAME OF STATE AGENCY
Clifford Client	State Tax Auditors Board
<div><input type="checkbox"/> Check here if entry is None</div> <div><input type="checkbox"/> Check here if additional sheets are attached</div>	

ITEM 10: CREDITOR INTERESTS IN INSOLVENT BUSINESSES

List the amount and identity of every creditor interest in insolvent businesses, held during the disclosure period, if the interest has a value of \$5,000 or more.

F,SP,DC,JT	NAME AND ADDRESS OF BUSINESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE
F	Nolo Furniture, Inc. 0000 Sand Island Road Honolulu, HI 96800	Furniture Sales	Unsecured Creditor	B
<div><input type="checkbox"/> Check here if entry is None</div> <div><input type="checkbox"/> Check here if additional sheets are attached</div>				

CERTIFICATION: I hereby certify that the above is a true, correct, and complete statement to the best of my knowledge and belief. If I have a spouse and/or dependent children, I also hereby certify that I have included their interests on this form to the best of my knowledge and belief. I understand that it is a violation of State law, chapter 84, HRS, if information is not disclosed as required by chapter 84, HRS. I further understand that there are statutory penalties for noncompliance.

John Doe

SIGNATURE

3-15-20xx

DATE